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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/872,216	05/31/2001	Robert D. Ainsworth	3764.P003	2384

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EXAMINER

QADERI, RUNA S

ART UNIT	PAPER NUMBER
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3737

DATE MAILED: 12/23/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

09/872,216

Applicant(s)

AINSWORTH ET AL.

Examiner

Runa S. Qaderi

Art Unit

3737

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☐ Responsive to communication(s) filed on \_\_\_\_.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-25 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-25 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 31 August 2001 is/are: a) ☐ accepted or b) ☒ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. §§ 119 and 120

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a) ☐ All b) ☐ Some \* c) ☐ None of:  
1. ☐ Certified copies of the priority documents have been received.  
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_.  
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  
\* See the attached detailed Office action for a list of the certified copies not received.
- 13) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application) since a specific reference was included in the first sentence of the specification or in an Application Data Sheet. 37 CFR 1.78.  
a) ☐ The translation of the foreign language provisional application has been received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121 since a specific reference was included in the first sentence of the specification or in an Application Data Sheet. 37 CFR 1.78.

### Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892) 4) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948) 5) ☐ Notice of Informal Patent Application (PTO-152)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449) Paper No(s) 4. 6) ☐ Other: \_\_\_\_

## **DETAILED ACTION**

### ***Drawings***

The drawings are objected to as failing to comply with 37 CFR 1.84(p)(5) because they do not include the following reference sign(s) mentioned in the description: The reference number (10) for the guidewire is not shown in the drawings. A proposed drawing correction or corrected drawings are required in reply to the Office action to avoid abandonment of the application. The objection to the drawings will not be held in abeyance.

The drawings are objected to because figure 1 includes reference number (11) twice marking two different components of the device. A proposed drawing correction or corrected drawings are required in reply to the Office action to avoid abandonment of the application. The objection to the drawings will not be held in abeyance.

### ***Specification***

The specification is objected to as failing to provide proper antecedent basis for the claimed subject matter. See 37 CFR 1.75(d)(1) and MPEP § 608.01(o). Correction of the following is required: Claim 18 recites that the optical fiber is "marked with a radiopaque substance". The specification fails to provide proper antecedent basis for an optical fiber "marker with a radiopaque substance".

***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1, 2, and 5 are rejected under 35 U.S.C. 102(b) as being anticipated by Winston et al. (US 5,951,482).

In regards to claims 1 and 2 figure 1 of Winston et al. discloses a therapeutic guidewire (28) with a bore (34) extending thereon and configured to receive a diagnostic optical fiber (42).

In regards to claim 5 the therapeutic guidewire may be coupled or with a catheter, column 5 lines 30-50.

Claims 1-7, and 10, 18 are rejected under 35 U.S.C. 102(b) as being anticipated by Tenerz et al. (US 4,941,473).

In regards to claims 1-3, 6, and 7 figure 1 of Tenerz et al. discloses a therapeutic guidewire having an optical fiber (3) extending along the length of the guidewire for measuring intravascular pressure, column 2.

In regards to claims 4 and 10, the teaching to intravascular pressure measurement of Tenerz et al. is an example of hemodynamic blood characteristics.

Art Unit: 3737

In regards to claim 5 the references clearly recites that the guidewire is for guiding a catheter, see Abstract. Therefore although the catheter structure is not positively recited in the reference, it is inherent that the guidewire is operatively coupled to a catheter. The sole purpose of having a guidewire is to guide a probe (i.e. catheter) coupled to it. The operative coupling of a catheter to a guidewire is inherent.

In regards to claim 18 the patent teaches that the components of the guidewire can contain a compound making it visible under radiography or having a radiopaque substance as claimed by applicant, column 3 lines 23-27.

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 8, 9, and 22-25 are rejected under 35 U.S.C. 103(a) as being unpatentable over Tenerz et al.

In regards to claims 8, 9, and 22-25 Tenerz et al. teaches an intravascular guidewire having an optical fiber extending thereon for proving blood pressure measurements (example of a hemodynamic characteristic).

Art Unit: 3737

In regards 8 and 9 the Tenerz et al. reference does not expressly teach said optical fiber movable within guidewire and being exposed within vasculature of patient. It would have been obvious to a person of ordinary skill in the art to have a movable optical fiber or a fiber being exposed to the vasculature of the patient because either configuration satisfies the measurement of blood pressure in any desire vascular location as taught Tenerz et al.

In regards to claims 22-25 the Tenerz et al. reference does not expressly recite the data processing system and the steps of operating a data processor and processing the diagnostic data. It would have been inherently obvious to a person of ordinary skill in the art to provide said system and method steps of data processing because such is essential to able to read/interpret the diagnostic data received. Any diagnostic and/or therapeutic data received must be feed into appropriate processing means and method for analysis.

Claims 11-17, and 19-21 are rejected under 35 U.S.C. 103(a) as being unpatentable over Tenerz et al (US 4,941,473) in view of Jafari (US 5,980,471).

In regards to claims 11-17 and 19-21 Tenerz et al. teaches an intravascular guidewire having an optical fiber extending thereon for proving blood pressure measurements (example of a hemodynamic characteristic).

In regards to the claims 11, 15, and 16 Tenerz et al. reference does not expressly teach said optical fiber movable within guidewire and being exposed within vasculature of patient. It would have been obvious to a person of ordinary skill in the art

Art Unit: 3737

to have a movable optical fiber or a fiber being exposed to the vasculature of the patient because either configuration satisfies the measurement of blood pressure in any desire vascular location as taught Tenerz et al.

In regards to claims 11-14 and 19-21 Tenerz et al. does not teach specific structure components of guidewire comprising distal core section, proximal core section, connecting member, atraumatic distal tip, flexible coil disposed about distal core section, shaped ribbon coupled to distal core section, atraumatic tip including a metal or polymeric material, and a clear polymeric jacket disposed about distal core section, said clear polymeric jacket coupled to at least one point along an outer surface of the distal core section, the atraumatic distal tip coupled to a distal end of clear polymeric jacket.

Figure 1 of Jafari discloses a therapeutic guidewire (10) comprising an elongated body having a distal core section (12) coupled to a proximal core section (11) by a connecting member (13) and an atraumatic distal tip (24) formed at a distal end (21) of the distal core section (12). The device further comprises a flexible coil (22) disposed about the distal core section (12) and coupled to at least one point (25) along the distal core section (12). A shaped ribbon (23) is shown within the therapeutic guidewire (10), columns 5-6. The atraumatic distal tip (24) is coupled to the distal end of the flexible coil (22). The atraumatic tip is formed with a solder (includes combination of gold and tin which satisfies applicant's limitation to metal or hardenable polymeric material, column 5 lines 56-61.


The Jafari reference provides evidence that said improved guidewire structure enables advanced access throughout and is easily maneuverable within the vastly branched vascular, column 8 lines 1-29. It would have been obvious to a person of ordinary skill in the art to incorporate the guidewire structure limitations of Jafari into the system of Tenerz et al. because the structure of Jafari improves on the movement of a guidewire within the vascular of the body.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Runa S. Qaderi whose telephone number is (703) 308-8155. The examiner can normally be reached on Mon-Fri 8:00-4:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Dennis W. Ruhl can be reached on (703) 308-2262. The fax phone number for the organization where this application or proceeding is assigned is (703) 305-3590.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-0858.

  
RSQ

  
JOHN J. CALVERT  
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